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TECH CENTER 1600/2900

PTO/SB/21 (08-00)

Approved for use through 10/31/02. OMB 0651-0031

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number Of Pages In This Submission

275

Application Number

08/349,177

Filing Date

2 December 1994

First Named Inventor

Howard M. GREY

Group Art Unit

1644

Examiner Name

Ron Schwadron

Attorney Docket No.

399632000623

**ENCLOSURES (check all that apply)**

Fee Transmittal Form

Assignment Papers  
(for an Application)After Allowance Communication to  
Group

Fee Attached



Drawing(s)

Appeal Communication to Board of  
Appeals and Interferences

Amendment / Reply



Licensing-related Papers

Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

After Final



Petition



Proprietary Information



Affidavits/declarations

Petition to Convert to a  
Provisional Application

Status Letter



Extension of Time Request

Power of Attorney, Revocation  
Change of Correspondence AddressOther Enclosure(s) (please identify  
below):  
Substitute Specification (clean and marked-  
up copy)  
Change of Entity Status  
Form 1449  
Cited References  
Return-receipt postcard

Express Abandonment Request



Terminal Disclaimer



Information Disclosure Statement



Request for Refund



Certified Copy of Priority Document(s)



CD, Number of CD(s) \_\_\_\_\_

Response to Missing Parts/  
Incomplete ApplicationResponse to Missing Parts  
under 37 CFR 1.52 or 1.53

Remarks



25225

PATENT TRADEMARK OFFICE

**SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

Firm

or

Individual Name

Bruce D. Grant Reg. No. 47,608

Morrison &amp; Foerster LLP

3811 Valley Centre Drive, Suite 500, San Diego, California 92130

Signature

Date

November 15, 2001

**CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"**

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sd-66962

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TECH CENTER 1600/2900

# **FREE TRANSMITTAL FOR FY 2002**

NOV 26 2001

Complete if Known

Application Number 08/349,177

Filing Date December 1994

First Named Inventor Howard M. GREY

Examiner Name Ron Schwadron

Group Art Unit 1644

Attorney Docket No. 39963200623

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$1100.00)

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

03-1952, referencing 39963200623

Deposit  
Account  
Name

Morrison &amp; Foerster LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

- ☐ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20**	x		\$
3**	x		\$

Multiple Dependent

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claims, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

\*\* or number previously paid, if greater; For reissues, see above.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	920
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions of the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per properties (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) fee for filing IDS

180

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$1100)

## SUBMITTED BY

Name (Print/Type)

Bruce D. Grant

Registration No.  
(Attorney/Agent)

47,608

Complete (if applicable)

Telephone

(858) 720-7962

Signature

Date

November 15, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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PATENT  
NOV 26 2001  
Docket No. 399632000623

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*Rebekah Werth*  
Rebekah Werth

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

GREY, *et al.*

Serial No.: 08/349,177

Filing Date: 2 December 1994

For: HLA-A2.1 BINDING PEPTIDES AND THEIR  
USES

Examiner: Ron Schwadron

Group Art Unit: 1644

NOTICE OF CHANGE OF SMALL ENTITY STATUS

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. § 1.28(b), notice is hereby given that the above-captioned application is no longer entitled to Small Entity Status and that the claim for small entity status in the present application is hereby withdrawn. This notification is made prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which the status was no longer appropriate.

Dated: November 15, 2001

Respectfully submitted,

By: *[Signature]*

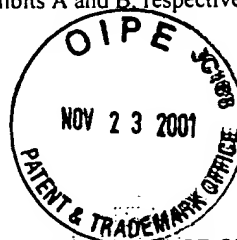
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Docket No.: 399632000623	Atty: Bruce D. Grant
Serial No.: 08/349,177	Filing Date: 2 December 1994
Title: HLA-A2.1 BINDING PEPTIDES AND THEIR USES	
Date of Mailing: November 15, 2001	

**Papers enclosed herewith:**

1. Transmittal (1 page)
2. Fee Transmittal (1 page, 1 duplicate)
3. Request for Extension of Time (1 page, 1 duplicate)
4. Change of Entity Status (1 page)
5. Amendment under 37 C.F.R. § 1.111 (~~20~~ pages)
6. Substitute Specification, (clean and marked up copy, Exhibits A and B, respectively) (~~101~~ pages)
7. Exhibit C (~~9~~ pages)
8. Declaration, with Exhibits (32 pages)
9. Information Disclosure Statement (3 pages)
10. Form 1449 (3 pages)
11. Cited References (76 references)
12. Return Receipt Postcard



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